# **Machine Learning Methods for Neural Data Analysis Markerless Pose Tracking**

Scott Linderman *STATS 220/320 (NBIO220, CS339N). Winter 2023.*



**Datta, Anderson, Branson, Perona, and Leifer. Computational Neuroethology: A Call to Action.** *Neuron* **2019.**

# "The brain is worthy of study because it is in charge of behavior"



Burgess et al (*Cell Rep.*, 2017)



- **Hypothesis:** "exposing the structure of behavior…will yield insights into how the brain creates behavior." Datta et al.
- **Structure:** how behavior in the natural environment is built from components and organized over time in response to ecologically relevant stimuli.

# **The study of (natural) behavior Ethology**

#### **• Natural behavior:**

- **•** Exploring new environments
- **•** Foraging for food
- **•** Finding shelter
- **•** Identifying mates

**•** …



Nikolaas Tinbergen Nobel Prize in Physiology or Medicine 1973















#### **Quantifying natural behavior (and relating it to neural activity)**

- Leveraging advances in **computer vision**  and **machine learning** to extract behavioral features of interest from raw data.
- Modeling the dynamics of 3D pose as a function of sensory input and internal state.
- Decomposing behavior into stereotyped components and behavioral motifs.
- Correlating behavioral motifs with large scale neural recordings.
- Identifying causal relationships between neural activity and motor output.

## **Computational (Neuro)Ethology**



Datta et al (*Neuron*, 2019)



Johnson et al (*Curr. Bio.* 2020)







Johnson et al (*Curr. Bio.* 2020)





CAPTURE: Marshall et al (*Neuron*, 2020)





Left Groom











Machado et al (*eLife.* 2015)















DeepLabCut: Mathis et al. (*Nat Neuro* 2018)







OpenMonkeyStudio: Bala et al (*Nature Comm.*, 2020)







#### DeepFly3D: Gunel et al (*eLife*, 2019)













# **Agenda**

- 1. Basics of markerless pose tracking
- 2. Pose tracking with CNNs
- 3. Structured prediction and triangulation

# **Basic pose tracking Turn it into a supervised learning problem**

- Extract patches from the video frames and label them as positive or negative examples of a key point (e.g. paw).
- Train a binary classifier (logistic regression, SVM, neural network, etc.) to predict key point or not.
- At test time, classify each patch in the image and then pick the most likely keypoint location(s). (More on how later.)





# **Basic pose tracking Mathematical formulation**

- Let  $P_h$  and  $P_w$  be the height and width, respectively, of the patch (in pixels).
- *N* denote the number of patches
- $\mathbf{x}_n \in \mathbb{R}^{P_h \cdot P_w}$  denote the *n*-th patch.  $\mathbf{x}_n \in \mathbb{R}^{P_h \cdot P_w}$  denote the  $n$
- $y_n \in \{0,1\}$  denote whether or not the patch is an instance of the key point.
- $\mathbf{w} \in \mathbb{R}^{P_h \cdot P_w}$  denote the weights of our model.





# **Basic pose tracking Via logistic regression**

Assume

 $p(y_n | \mathbf{x}_n, \mathbf{w}) = \text{Bern}(y_n | \sigma(\mathbf{w}^\top \mathbf{x}_n))$ 

where

is the **logistic function.**







$$
\sigma(a) = \frac{e^a}{1 + e^a}
$$

# **The Bernoulli distribution**

#### **6** The Bernoulli distribution

probability  $p \in [0,1]$ . Its pmf can be written as,

 $\mathrm{Bern}(y; p)$ 

The Bernoulli distribution is a distribution over binary variables  $y \in \{0,1\}$  with

$$
=p^{y}\left( 1-p\right) ^{\left( 1-y\right) }
$$

# **The logistic function**



# **Basic pose tracking Maximum likelihood estimation**

### $\mathscr{L}(\mathbf{w}) = -\log p(\mathbf{y} | \mathbf{w}, \mathbf{X})$

# **Basic pose tracking Calculating the gradient**



# **Basic pose tracking The negative log likelihood is convex**

- The Hessian is positive semi-definite
- $\nabla^2 \mathscr{L}(\mathbf{w}) =$

# **Gradient descent**

Let  $\mathbf{w}_0$  denote our initial setting of the weights. Gradient descent is an iterative algorithm that produces a sequence of weights  $\mathbf{w}_0, \mathbf{w}_1, \dots$  that (under certain conditions) converges to a local optimum of the objective. Since the objective is convex, all local optima are global optima. The idea is straightforward, on each iteration we update the weights by taking a step in the direction of the gradient,

 ${\bf w}_{i+1}=$ 

gradient of the objective evaluated at the current weights  $\mathbf{w}_i$ .

$$
{\bf w}_i - \alpha_i \nabla {\mathcal L}({\bf w}_i)
$$

where  $\alpha_i\in\mathbb{R}_+$  is the **learning rate** (aka step size) on iteration i, and  $\nabla\mathcal{L}(\mathbf{w}_i)$  is the

# **Newton's Method**

- We can obtain faster convergence rates using **second-order** methods.
- the current weights,

• Approximate the objective with a second-order Taylor approximation around

 $\mathscr{L}(\mathbf{w}) \approx \mathscr{L}(\mathbf{w}_i) + (\mathbf{w} - \mathbf{w}_i)$ ⊤∇ℒ(**w***<sup>i</sup>*

**• Exercise:** show that the minimum is obtained at  $\mathbf{w}_{i+1} = \mathbf{w}_i + \nabla^2 \mathcal{L}(\mathbf{w}_i)$ ) <sup>−</sup>1∇ℒ(**w***<sup>i</sup>* ) .

$$
(\mathbf{w}_i) + \frac{1}{2} (\mathbf{w} - \mathbf{w}_i)^{\top} \nabla^2 \mathcal{L}(\mathbf{w}_i) (\mathbf{w} - \mathbf{w}_i).
$$

# **Computational complexity**

- What is the (time) complexity of gradient descent and Newton's method?
- Quasi-Newton methods like BFGS sidestep the Hessian calculation and inversion.
- SGD (with momentum) uses mini-batches of data and rolling averages of the gradient to achieve faster convergence.
- Adagrad, RMSProp, and Adam tune the learning rates as they go.

# Pose tracking with convolutional neural networks

# **Basic pose tracking As a one-layer convolutional neural network**

- Instead of working with patches, let's work with images directly.
- Let  $\mathbf{X}_n \in \mathbb{R}^{P_H \times P_W}$  denote an image (height  $P_H$ , width  $P_{\mathit{W}}$ )
- Let  $Y \in \{0,1\}^{P_H \times P_W}$  indicate the location(s) of the keypoint.
- The 2D cross-correlation  $X_n \star W$ ; is a sliding dot product of weights across all  $P_h\times P_w$  patches in the image. It produces a  $P_H\times P_W$  output.
- In PyTorch, it's implemented by the F.conv2d function and the Conv2D layer.





# **Basic pose tracking Feature learning in CNNs**

- This simple model assumes key points can be detected with a **linear classifier** using raw pixels as inputs.
- We can perform **nonlinear classification** by encoding each pixel with a vector of features.
- Rather than handcrafting these features, **learn them** from the data!



https://en.wikipedia.org/wiki/Convolutional\_neural\_network



- **• Idea**: rather than handcrafting features or learning them from scratch, **use a pretrained network** for a related task.
- **• Example**: use the features of a deep neural network for image classification.
- **• Reroute** the output of an intermediate layer to a **new loss function.**
- **•** Optionally, **fine tune** the weights in the early layers via stochastic gradient descent on the new loss.
- **•** With good starting features, you **only need a few training examples** to perform animal pose estimation.







# **Transfer Learning**

# **In DeepLabCut, SLEAP, etc. Transfer Learning**

- DLC and SLEAP repurpose stateof-the-art deep networks for human pose detection.
- DLC starts with a residual network (resnet-50) and adds "deconvolutional" layers, as in DeeperCut for human pose estimation.
- SLEAP starts with "stacked hourglass networks" for human pose estimation.



#### Deep Residual Networks (resnet-50)

34-layer residual





# **Data augmentation Transfer Learning**

- Labeling data is tedious.
- **Idea:** Make the most of each training example by making alterations your classifier should be robust to.
- Eg a cropped, rotated, and scaled paw is still a paw. A partially occluded paw is still a paw.





# Structured prediction

# **Structured prediction How do we aggregate key point probabilities for each pixel?**

#### **Bayesian formulation with efficient MAP inference**



Felzenswalb & Huttenlocher. Pictorial Structures for Object Recognition (2004) Felzenswalb & Huttenlocher. Efficient matching of pictorial structures (2005)

Slide credit: Talmo Pereira



## **Structured prediction How do we aggregate key point probabilities for each pixel?**pairwise per part

### unaries



Pishchulin, Insafutdinov, Tang, Andres, Andriluka, Gehler, & Schiele. DeepCut: Joint Subset Partition and Labeling for Multi Person Pose Estimation (2015) Insafutdinov, Pishchulin, Andres, Andriluka & Schiele. DeeperCut: A Deeper, Stronger, and Faster Multi-Person Pose Estimation Model (2016)

Slide credit: Talmo Pereira



- How can we estimate 3D pose from multiple 2D camera views?
- Projective geometry makes far away objects appear smaller.

 $\vec{y}_c \approx f_c(\vec{x})$ 

 $f_c(\vec{x}) = \frac{1}{w}(u, v)^\top$  where  $(u, v, w)^\top = A_c \vec{x} + b_c$ ,

# **3D Pose estimation Projective geometry**





- time steps
- **K** keypoints
- **C** cameras

# **3D Pose estimation Model 0: Bayesian triangulation of 3D pose from 2D observations**

 $x_{t,k} \sim \mathcal{N}(x_{t-1,k}, \eta^2 I)$  $y_{t,k,c} \sim \mathcal{N}\left(f_c(x_{t,k}), \omega^2 I\right)$ 

- Projective geometry makes far away objects appear smaller.
- Outliers in 2D estimates can severely affect 3D triangulation.
- Typical approaches:
	- More data
	- Temporal constraints
	- Median filtering (DLC-3D) / RANSAC
	- Robust noise models

## **Triangulation in the presence of measurement noise 3D Pose estimation**



*Modified from wikipedia.org*



## **Model 1**: Robust Bayesian triangulation of 3D pose from 2D observations







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## Triangulation in the presence of measurement noise

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- Typical approaches:
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	- Robust noise models
	- **Spatial constraints**



## A probabilistic view of spatial constraints

• Common approach:

$$
p(x) \propto \prod_k \mathcal{N}(\|x_k - x_{\pi(k)}\|; \rho_k, \sigma^2 I)
$$



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$$
p(x) \propto \prod_k \mathcal{N}(\|x_k - x_{\pi(k)}\|; \rho_k, \sigma^2 I)
$$

• Alternative:

 $u_k \sim \text{Unif}(\mathbb{S}_2)$  $x_k | u_k \sim \mathcal{N}(x_{\pi(k)} + \rho_k u_k, \sigma^2 I)$ 

• Are these equivalent?





## **Model 2**: Incorporating distance priors on keypoint configurations







## Why stop at distances? Poses involve correlated directions!





 $u_k \sim \text{vMF}(\mu_{s_t,k}, \kappa_{s_t,k})$ 

## **GIMBAL:** Capturing correlations in direction vectors with pose states



time  $t$ 



 $\bullet\qquad\bullet\qquad\bullet$ 



 $\bullet$   $\bullet$   $\bullet$ 

 $DLC$  3D oCap

Gimbal

# GIMBAL yields posterior distributions on 3D pose given 2D estimates **E**  $\mathbf{r}$











### Structured priors improve 3D pose estimates

Table 1: Mean position error (MPE) averaged over all keypoints, for different pose estimation models. Calculated with unmodified predictions (raw) and after applying rigid Procrustes analysis (RPA). Units: mm.



Table 2: Same as Table 1, with results for special submodels of GIMBAL.



## Structured priors improve 3D pose estimates



# **Conclusion**

**• Precise behavior quantifications** are critical for understanding how neural

**• Markerless pose tracking** methods have made it much easier to obtain such

- activity relates to behavioral output.
- quantifications.
- **• Convolutional neural networks** are naturally suited to this task.
- image classification to warm-start pose tracking.
- spatiotemporal priors.

**•** With **transfer learning,** we can leverage state-of-the-art deep networks for

**•** We can t**riangulate 3D pose** from 2D images using projecting geometry and

# **Further reading**

• Mathis, Alexander, et al. "DeepLabCut: markerless pose estimation of userdefined body parts with deep learning." *Nature neuroscience* 21.9 (2018):

- Datta, Sandeep Robert, et al. "Computational neuroethology: a call to action." *Neuron* 104.1 (2019): 11-24.
- 1281-1289.
- Pereira, Talmo D., et al. "Fast animal pose estimation using deep neural networks." Nature methods 16.1 (2019): 117-125.
- He, Kaiming, et al. "Deep residual learning for image pattern recognition. 2016.

recognition." Proceedings of the IEEE conference on computer vision and