# Machine Learning Methods for Neural Data Analysis Markerless Pose Tracking

Scott Linderman

STATS 220/320 (NBIO220, CS339N). Winter 2023.



# "The brain is worthy of study because it is in charge of behavior"

Datta, Anderson, Branson, Perona, and Leifer. Computational Neuroethology: A Call to Action. Neuron 2019.



Burgess et al (Cell Rep., 2017)



# Ethology The study of (natural) behavior

- **Hypothesis:** "exposing the structure of behavior...will yield insights into how the brain creates behavior." Datta et al.
- **Structure:** how behavior in the natural environment is built from components and organized over time in response to ecologically relevant stimuli.

#### Natural behavior:

- Exploring new environments
- Foraging for food
- Finding shelter
- Identifying mates
- ...



Nikolaas Tinbergen Nobel Prize in Physiology or Medicine 1973















![](_page_4_Picture_1.jpeg)

#### **Computational (Neuro)Ethology**

#### **Quantifying natural behavior (and** relating it to neural activity)

- Leveraging advances in **computer vision** and machine learning to extract behavioral features of interest from raw data.
- Modeling the dynamics of 3D pose as a function of sensory input and internal state.
- Decomposing behavior into stereotyped components and behavioral motifs.
- Correlating behavioral motifs with large scale neural recordings.
- Identifying causal relationships between neural activity and motor output.

![](_page_5_Figure_7.jpeg)

![](_page_6_Picture_0.jpeg)

Johnson et al (*Curr. Bio.* 2020)

![](_page_6_Picture_2.jpeg)

![](_page_7_Picture_0.jpeg)

![](_page_7_Figure_1.jpeg)

Johnson et al (Curr. Bio. 2020)

![](_page_7_Picture_3.jpeg)

![](_page_8_Picture_0.jpeg)

CAPTURE: Marshall et al (Neuron, 2020

![](_page_9_Picture_2.jpeg)

![](_page_10_Picture_0.jpeg)

Left Groom

![](_page_10_Picture_2.jpeg)

![](_page_11_Picture_0.jpeg)

![](_page_11_Picture_2.jpeg)

![](_page_12_Picture_0.jpeg)

![](_page_12_Picture_1.jpeg)

Machado et al (*eLife.* 2015)

![](_page_12_Picture_3.jpeg)

![](_page_12_Picture_4.jpeg)

![](_page_13_Picture_0.jpeg)

DeepLabCut: Mathis et al. (Nat Neuro 2018)

![](_page_13_Picture_2.jpeg)

![](_page_14_Picture_0.jpeg)

![](_page_14_Picture_1.jpeg)

OpenMonkeyStudio: Bala et al (Nature Comm., 2020

![](_page_14_Picture_3.jpeg)

![](_page_15_Figure_0.jpeg)

![](_page_15_Picture_1.jpeg)

#### DeepFly3D: Gunel et al (eLife, 2019)

![](_page_15_Picture_3.jpeg)

![](_page_15_Picture_4.jpeg)

![](_page_15_Picture_5.jpeg)

![](_page_15_Picture_6.jpeg)

![](_page_16_Picture_0.jpeg)

![](_page_16_Picture_1.jpeg)

![](_page_16_Picture_2.jpeg)

# Agenda

- 1. Basics of markerless pose tracking
- 2. Pose tracking with CNNs
- 3. Structured prediction and triangulation

# **Basic pose tracking** Turn it into a supervised learning problem

- Extract patches from the video frames and label them as positive or negative examples of a key point (e.g. paw).
- Train a binary classifier (logistic regression, SVM, neural network, etc.) to predict key point or not.
- At test time, classify each patch in the image and then pick the most likely keypoint location(s). (More on how later.)

![](_page_18_Picture_7.jpeg)

![](_page_18_Picture_8.jpeg)

# **Basic pose tracking Mathematical formulation**

- Let  $P_h$  and  $P_w$  be the height and width, respectively, of the patch (in pixels).
- N denote the number of patches
- $\mathbf{X}_n \in \mathbb{R}^{P_h \cdot P_w}$  denote the *n*-th patch.
- $y_n \in \{0,1\}$  denote whether or not the patch is an instance of the key point.
- $\mathbf{w} \in \mathbb{R}^{P_h \cdot P_w}$  denote the weights of our model.

![](_page_19_Picture_7.jpeg)

![](_page_19_Picture_9.jpeg)

### **Basic pose tracking** Via logistic regression

Assume

$$p(y_n | \mathbf{x}_n, \mathbf{w}) = \text{Bern}(y_n | \sigma(\mathbf{w}^\top \mathbf{x}_n))$$

where

$$\sigma(a) = \frac{e^a}{1 + e^a}$$

is the logistic function.

![](_page_20_Picture_6.jpeg)

![](_page_20_Picture_7.jpeg)

![](_page_20_Picture_8.jpeg)

# The Bernoulli distribution

#### The Bernoulli distribution

probability  $p \in [0,1]$ . Its pmf can be written as,

Bern(y; p)

The **Bernoulli distribution** is a distribution over binary variables  $y \in \{0, 1\}$  with

$$= p^{y} (1-p)^{(1-y)}$$

# The logistic function

![](_page_22_Figure_1.jpeg)

### **Basic pose tracking** Maximum likelihood estimation

#### $\mathscr{L}(\mathbf{w}) = -\log p(\mathbf{y} \mid \mathbf{w}, \mathbf{X})$

### **Basic pose tracking** Calculating the gradient

![](_page_24_Picture_1.jpeg)

### **Basic pose tracking** The negative log likelihood is convex

- The Hessian is positive semi-definite
- $\nabla^2 \mathscr{L}(\mathbf{w}) =$

# Gradient descent

Let  $\mathbf{w}_0$  denote our initial setting of the weights. Gradient descent is an iterative algorithm that produces a sequence of weights  $\mathbf{w}_0, \mathbf{w}_1, \ldots$  that (under certain conditions) converges to a local optimum of the objective. Since the objective is convex, all local optima are global optima. The idea is straightforward, on each iteration we update the weights by taking a step in the direction of the gradient,

gradient of the objective evaluated at the current weights  $\mathbf{w}_i$ .

 $\mathbf{w}_{i+1} = \mathbf{w}_i - \alpha_i \nabla \mathcal{L}(\mathbf{w}_i)$ 

where  $\alpha_i \in \mathbb{R}_+$  is the **learning rate** (aka step size) on iteration *i*, and  $\nabla \mathcal{L}(\mathbf{w}_i)$  is the

# **Newton's Method**

- We can obtain faster convergence rates using second-order methods.
- Approximate the objective with a second-order Taylor approximation around the current weights,

 $\mathscr{L}(\mathbf{w}) \approx \mathscr{L}(\mathbf{w}_i) + (\mathbf{w} - \mathbf{w}_i)^{\top} \nabla \mathscr{L}(\mathbf{w}_i)$ 

 Exercise: show that the minimum is obtained at  $\mathbf{W}_{i+1} = \mathbf{W}_i + \nabla^2 \mathscr{L}(\mathbf{W}_i)^{-1} \nabla \mathscr{L}(\mathbf{W}_i).$ 

$$(\mathbf{w}_i) + \frac{1}{2} (\mathbf{w} - \mathbf{w}_i)^{\mathsf{T}} \nabla^2 \mathscr{L}(\mathbf{w}_i) (\mathbf{w} - \mathbf{w}_i).$$

# **Computational complexity**

- What is the (time) complexity of gradient descent and Newton's method?
- Quasi-Newton methods like BFGS sidestep the Hessian calculation and inversion.
- SGD (with momentum) uses mini-batches of data and rolling averages of the gradient to achieve faster convergence.
- Adagrad, RMSProp, and Adam tune the learning rates as they go.

# Pose tracking with convolutional neural networks

### **Basic pose tracking** As a one-layer convolutional neural network

- Instead of working with patches, let's work with images directly.
- Let  $\mathbf{X}_n \in \mathbb{R}^{P_H \times P_W}$  denote an image (height  $P_H$ , width  $P_W$
- Let  $\mathbf{Y} \in \{0,1\}^{P_H \times P_W}$  indicate the location(s) of the keypoint.
- The 2D cross-correlation  $\mathbf{X}_n \star \mathbf{W}$ ; is a sliding dot product of weights across all  $P_h \times P_w$  patches in the image. It produces a  $P_H \times P_W$  output.
- In PyTorch, it's implemented by the F.conv2d function and the Conv2D layer.

![](_page_30_Picture_6.jpeg)

![](_page_30_Picture_8.jpeg)

# **Basic pose tracking Feature learning in CNNs**

- This simple model assumes key points can be detected with a linear classifier using raw pixels as inputs.
- We can perform **nonlinear** classification by encoding each pixel with a vector of features.
- Rather than handcrafting these features, learn them from the data!

![](_page_31_Figure_4.jpeg)

https://en.wikipedia.org/wiki/Convolutional\_neural\_network

![](_page_31_Figure_6.jpeg)

# **Transfer Learning**

- Idea: rather than handcrafting features or learning them from scratch, use a pretrained network for a related task.
- **Example**: use the features of a deep neural network for image classification.
- **Reroute** the output of an intermediate layer to a **new loss function.**
- Optionally, **fine tune** the weights in the early layers via stochastic gradient descent on the new loss.
- With good starting features, you only need a few training examples to perform animal pose estimation.

![](_page_32_Picture_6.jpeg)

![](_page_32_Figure_7.jpeg)

# **Transfer Learning** In DeepLabCut, SLEAP, etc.

- DLC and SLEAP repurpose stateof-the-art deep networks for human pose detection.
- DLC starts with a residual network (resnet-50) and adds "deconvolutional" layers, as in DeeperCut for human pose estimation.
- SLEAP starts with "stacked hourglass networks" for human pose estimation.

#### **Deep Residual Networks** (resnet-50)

34-layer residual

![](_page_33_Figure_6.jpeg)

![](_page_33_Figure_7.jpeg)

![](_page_33_Figure_10.jpeg)

# **Transfer Learning** Data augmentation

- Labeling data is tedious.
- Idea: Make the most of each training example by making alterations your classifier should be robust to.
- Eg a cropped, rotated, and scaled paw is still a paw. A partially occluded paw is still a paw.

![](_page_34_Picture_4.jpeg)

![](_page_34_Picture_5.jpeg)

# Structured prediction

# Structured prediction How do we aggregate key point probabilities for each pixel?

#### Bayesian formulation with efficient MAP inference

![](_page_36_Figure_2.jpeg)

Felzenswalb & Huttenlocher. Pictorial Structures for Object Recognition (2004) Felzenswalb & Huttenlocher. Efficient matching of pictorial structures (2005)

Slide credit: Talmo Pereira

![](_page_36_Picture_6.jpeg)

#### Structured prediction How do we aggregate key point probabilities for each pixel? pairwise per part

#### unaries

![](_page_37_Picture_2.jpeg)

Pishchulin, Insafutdinov, Tang, Andres, Andriluka, Gehler, & Schiele. DeepCut: Joint Subset Partition and Labeling for Multi Person Pose Estimation (2015) Insafutdinov, Pishchulin, Andres, Andriluka & Schiele. DeeperCut: A Deeper, Stronger, and Faster Multi-Person Pose Estimation Model (2016)

Slide credit: Talmo Pereira

![](_page_37_Picture_5.jpeg)

# **3D Pose estimation Projective geometry**

- How can we estimate 3D pose from multiple 2D camera views?
- Projective geometry makes far away objects appear smaller.

 $\vec{y}_c \approx f_c(\vec{x})$ 

 $f_c(\vec{x}) = \frac{1}{w}(u,v)^\top$  where  $(u,v,w)^\top = A_c\vec{x} + b_c$ ,

![](_page_38_Figure_5.jpeg)

### **3D Pose estimation** Model 0: Bayesian triangulation of 3D pose from 2D observations

 $x_{t,k} \sim \mathcal{N}(x_{t-1,k}, \eta^2 I)$  $y_{t,k,c} \sim \mathcal{N}\left(f_c(x_{t,k}), \, \omega^2 I\right)$ 

![](_page_39_Figure_2.jpeg)

- T time steps
- **K** keypoints
- **C** cameras

#### **3D Pose estimation Triangulation in the presence of measurement noise**

- Projective geometry makes far away objects appear smaller.
- Outliers in 2D estimates can severely affect 3D triangulation.
- Typical approaches:
  - More data
  - Temporal constraints
  - Median filtering (DLC-3D) / RANSAC
  - Robust noise models

![](_page_40_Figure_8.jpeg)

Modified from wikipedia.org

![](_page_40_Picture_10.jpeg)

#### Model 1: <u>Robust</u> Bayesian triangulation of 3D pose from 2D observations

- Projective geometry makes far away objects appear smaller.
- Outliers in 2D estimates can severely affect 3D triangulation.
- Typical approaches:
  - More data
  - Temporal constraints
  - Median filtering (DLC-3D) / RANSAC
  - Robust noise models

![](_page_41_Figure_8.jpeg)

![](_page_41_Picture_9.jpeg)

![](_page_41_Picture_10.jpeg)

#### Triangulation in the presence of measurement noise

- Projective geometry makes far away objects appear smaller.
- Outliers in 2D estimates can severely affect 3D triangulation.
- Typical approaches:
  - More data
  - Temporal constraints
  - Median filtering (DLC-3D) / RANSAC
  - Robust noise models
  - Spatial constraints

![](_page_42_Picture_9.jpeg)

#### A probabilistic view of spatial constraints

• Common approach:

$$p(x) \propto \prod_{k} \mathcal{N}(\|x_k - x_{\pi(k)}\|; \rho_k, \sigma^2 I)$$

![](_page_43_Figure_3.jpeg)

#### A probabilistic view of spatial constraints

• Common approach:

$$p(x) \propto \prod_{k} \mathcal{N}(\|x_k - x_{\pi(k)}\|; \rho_k, \sigma^2 I)$$

• Alternative:

 $u_k \sim \text{Unif}(\mathbb{S}_2)$  $x_k \mid u_k \sim \mathcal{N}(x_{\pi(k)} + \rho_k u_k, \sigma^2 I)$ 

• Are these equivalent?

![](_page_44_Figure_6.jpeg)

![](_page_44_Figure_7.jpeg)

#### Model 2: Incorporating distance priors on keypoint configurations

![](_page_45_Picture_1.jpeg)

![](_page_45_Figure_2.jpeg)

![](_page_45_Picture_3.jpeg)

#### Why stop at distances? Poses involve correlated directions!

![](_page_46_Figure_1.jpeg)

![](_page_46_Figure_2.jpeg)

 $u_k \sim \mathrm{vMF}(\mu_{s_t,k},\kappa_{s_t,k})$ 

#### **GIMBAL:** Capturing correlations in direction vectors with pose states

![](_page_47_Figure_1.jpeg)

time t

![](_page_47_Figure_3.jpeg)

• • •

![](_page_47_Picture_4.jpeg)

• • •

DLC 3D oCap

Gimbal

# GIMBAL yields posterior distributions on 3D pose given 2D estimates

![](_page_48_Picture_3.jpeg)

![](_page_48_Figure_4.jpeg)

![](_page_48_Figure_5.jpeg)

![](_page_48_Figure_6.jpeg)

![](_page_48_Picture_7.jpeg)

#### Structured priors improve 3D pose estimates

Table 1: Mean position error (MPE) averaged over all keypoints, for different pose estimation models. Calculated with unmodified predictions (raw) and after applying rigid Procrustes analysis (RPA). Units: mm.

|     | DLC-3D | DANNCE | GIMBAL |
|-----|--------|--------|--------|
| Raw | 11.41  | 9.25   | 8.01   |
| RPA | 11.17  | 7.38   | 6.88   |

Table 2: Same as Table 1, with results for special submodels of GIMBAL.

|     | <b>M0</b> | $\mathbf{M1}$ | $\mathbf{M2}$ | GIMBAL |
|-----|-----------|---------------|---------------|--------|
| Raw | 14.96     | 10.71         | 9.65          | 8.01   |
| RPA | 14.07     | 10.43         | 8.97          | 6.88   |

#### Structured priors improve 3D pose estimates

![](_page_50_Figure_1.jpeg)

# Conclusion

- activity relates to behavioral output.
- quantifications.
- Convolutional neural networks are naturally suited to this task.
- image classification to warm-start pose tracking.
- spatiotemporal priors.

Precise behavior quantifications are critical for understanding how neural

Markerless pose tracking methods have made it much easier to obtain such

• With transfer learning, we can leverage state-of-the-art deep networks for

We can triangulate 3D pose from 2D images using projecting geometry and

# Further reading

- Datta, Sandeep Robert, et al. "Computational neuroethology: a call to action." Neuron 104.1 (2019): 11-24.
- 1281-1289.
- Pereira, Talmo D., et al. "Fast animal pose estimation using deep neural networks." Nature methods 16.1 (2019): 117-125.
- He, Kaiming, et al. "Deep residual learning for image pattern recognition. 2016.

 Mathis, Alexander, et al. "DeepLabCut: markerless pose estimation of userdefined body parts with deep learning." Nature neuroscience 21.9 (2018):

recognition." Proceedings of the IEEE conference on computer vision and